



# 3/A

PATENT

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OCT 10 2001

**Technology Center 2100**

Application No.: 09/662,298

Filing Date: September 15, 2000

Applicant: Prabir Bhattacharya

Group Art Unit: 2131 2175

Examiner: ~~Unknown~~ Abel-Jalil, Nereen

Title: SECURE SYSTEM AND METHOD FOR ACCESSING  
FILES IN COMPUTERS USING FINGERPRINTS

Attorney Docket: 9432-000119

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Box Non-Fee Amendment  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

Sir:

Preliminary to the Examiner's review of this application, please consider the following amendments.

**IN THE SPECIFICATION**

Please replace the following paragraphs of the specification. Applicant includes herewith an Attachment for Specification Amendments showing a marked up version of each replacement paragraph.



Please type a plus sign (+) inside this box → ☐

HDP/SB/21 based on PTO/SB/21 (08-00)

CAN 2131

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/662,298

Filing Date September 15, 2000

First Named Inventor Prabir Bhattacharya

Group Art Unit 2131

Examiner Name Unknown

Attorney Docket Number 9432-000119

Total Number of Pages in This Submission 3

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### ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

**Acknowledgement Postcard.**

Remarks

The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Harness, Dickey & Pierce, P.L.C.

Attorney Name  
Gregory A. Stobbs

Reg. No.  
28,764

Signature

*Gregory Stobbs*

Date

October 1, 2001

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.

Typed or printed name Gregory A. Stobbs

Signature

*Gregory Stobbs*

Date

October 1, 2001